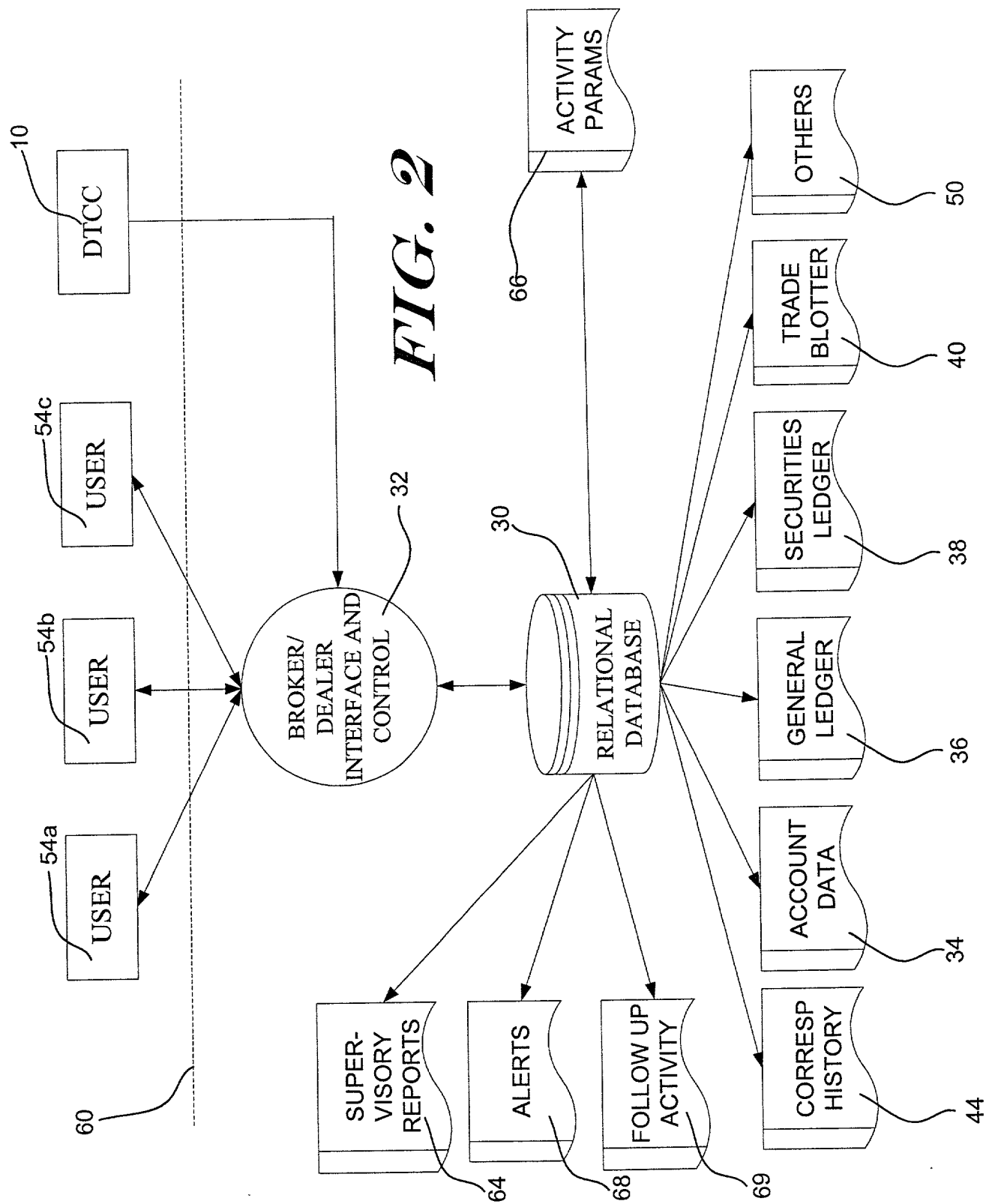


FIG. 1



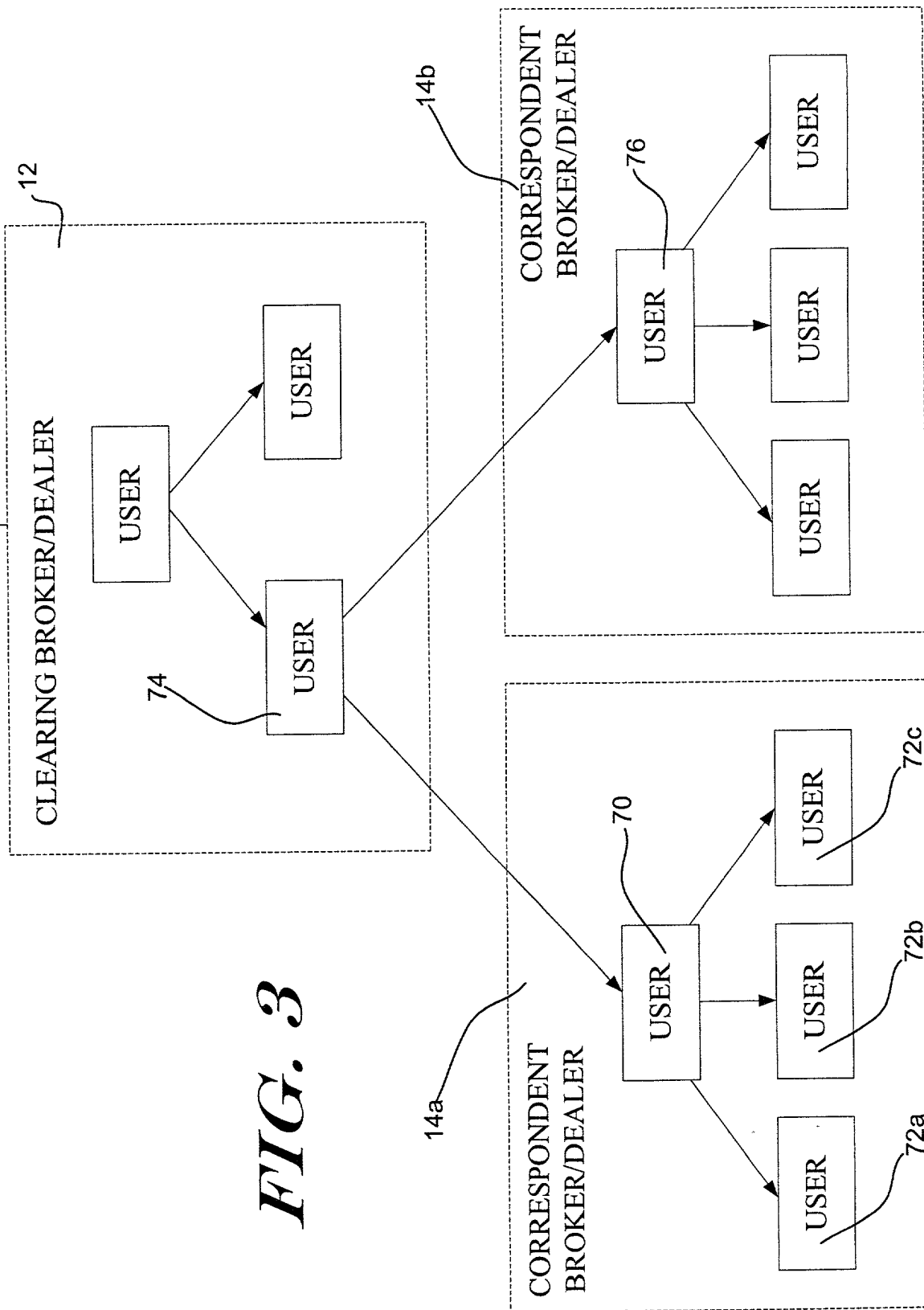


FIG. 4

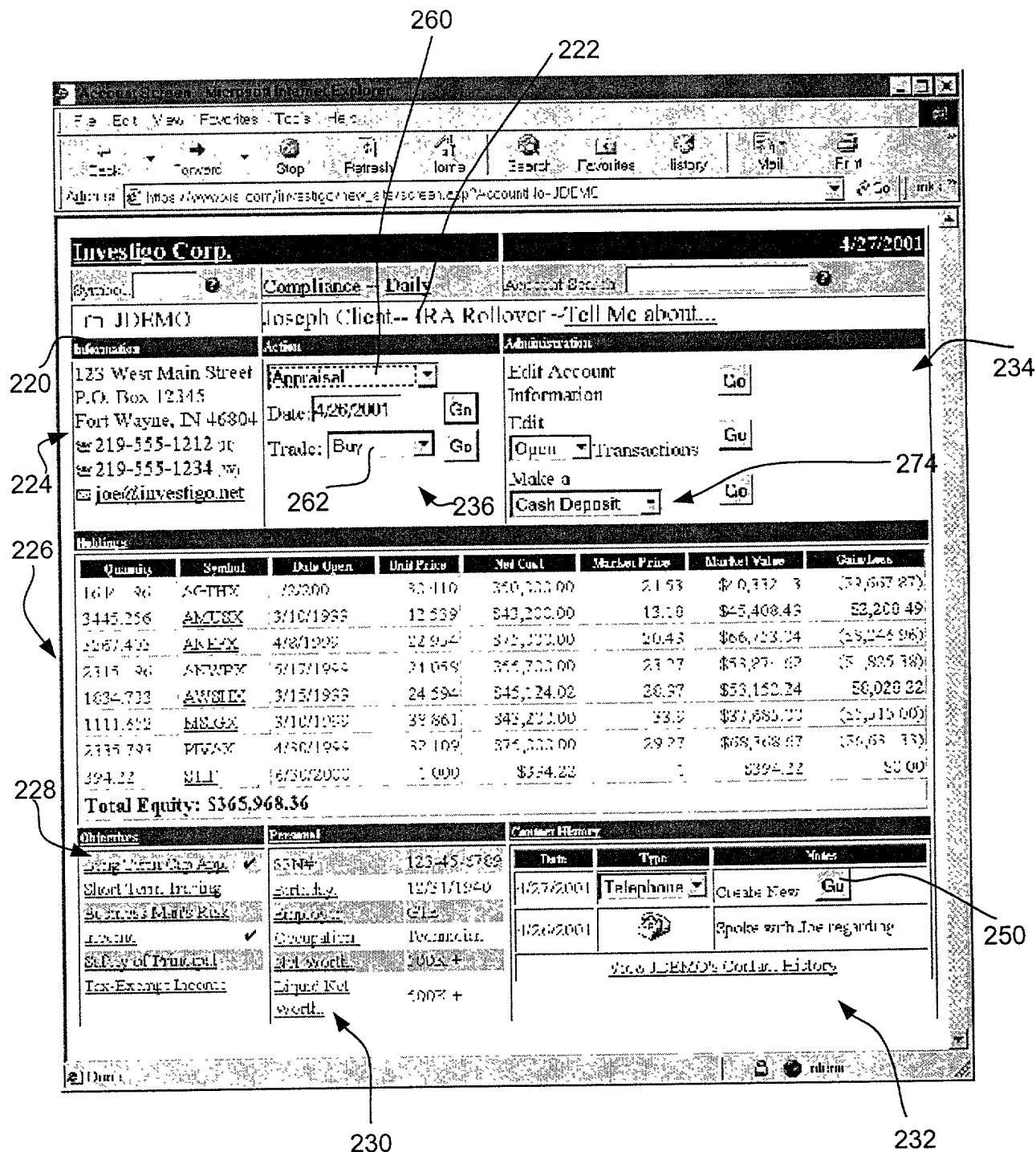


FIG. 4



FIG. 5

Investigo Corp. 4/27/2001

Symbol: Compliance -- Daily Account Number or Name:

Trade Entry

JDEMO Joseph Client-- IRA Rollover

Trade Date	4/27/2001	Trade Type	Buy
Amount	1000	Symbol or CUSIP	ibm
Price	33.00	Solicitation Status	Solicited
Commission	600	Location of Shares	Long
Fees and/or charges	65.00	Reinvest	None or N/A
Sales charge	0	- For Mutual Funds	
Cost or Proceeds Override		- Overrides automatic calculation	
Order Entered By	3br		

Notes

Recommended to client

Confirm This Trade

Done Internet

270

FIG. 6

268

File	Edit	View	Favorites	Tools	Help	Back	Forward	Stop	Refresh	Home	Search	Favorites	History	Mail	Print	Go	Links
Address: https://www.xisi.com/investiga/trade_blotters/trade_blotters/1.asp?Represent=10/31&Year=200																	
Investigo Corp.																	
Trade Buy Blotter for All for dates 10/1/2000 to 10/31/2000																	
Trade Date	Settle Date	Buy / Sell	Account Number	Product	Shares	S/UNS	Buy Price	Buy Cost	Buy Commission								
10/1/2000	10/1/2000	Buy	W632293452	C017254-0D	90327 84	Unsolicited	1	0	0								
10/2/2000	10/2/2000	Buy	W632669420	JALAX	3603 604	Solicited	15 54	56000	0								
10/2/2000	10/5/2000	Buy	W632669420	JGGSX	1689 708	Solicited	13 02	22000	0								
10/2/2000	10/5/2000	Buy	W632669420	RCVAX	1756 44	Solicited	17 08	30000	0								
10/2/2000	10/5/2000	Buy	W632667689	LEIFX	0 194	Solicited	22 5	0	0								
10/2/2000	10/5/2000	Buy	W632666626	RCVAX	51 317	Solicited	16 58	0	0								
10/2/2000	10/5/2000	Buy	W632666955	RCVBX	11 881	Solicited	16 61	0	0								
10/2/2000	10/5/2000	Buy	W632668893	RCVGX	7 324	Solicited	16 57	0	0								
10/2/2000	10/5/2000	Buy	W632541066	BETM	300	Solicited	0 4375	187 6	50								
10/2/2000	10/5/2000	Buy	W632498077	LEIBX	0 135	Solicited	22 5	0	0								
10/2/2000	10/5/2000	Buy	W632467411	QQQ	70	Solicited	88 5	6333 44	132 09								
10/2/2000	10/5/2000	Buy	W632448502	RCVGX	16 242	Solicited	16 57	0	0								
10/2/2000	10/5/2000	Buy	W636047672	RCVGX	47 063	Solicited	16 57	0	0								
10/2/2000	10/5/2000	Buy	W632367389	RCVBX	21 917	Solicited	16 61	0	0								
10/2/2000	10/5/2000	Buy	W632669420	OPPAX	485 784	Solicited	69 99	34000	0								
10/2/2000	10/5/2000	Buy	W632356945	LEIFX	1 342	Solicited	22 5	0	0								
10/2/2000	10/5/2000	Buy	W632324755	RCVAX	40 796	Solicited	16 58	0	0								
10/2/2000	10/5/2000	Buy	W632312666	JAHITX	3 706	Solicited	12 97	0	0								
10/2/2000	10/5/2000	Buy	W632306958	JNABGX	13 93	Solicited	15 04	0	0								
10/2/2000	10/5/2000	Buy	W632297412	LEIBX	0 292	Solicited	22 5	0	0								
10/2/2000	10/5/2000	Buy	W632297347	LEIBX	0 576	Solicited	22 5	0	0								
10/2/2000	10/5/2000	Buy	W632297107	KO	0 62	Solicited	54 937	34 22	0								
10/2/2000	10/5/2000	Buy	W632295523	FDBBX	17 202	Solicited	8 79	0	0								

Trade Buy Blotter For All for dates 10/1/2000 to 10/31/2000

Trade Date	Settle Date	Buy / Sell	Account Number	Product	Shares	S/DNS	Buy Price	Buy Cost	Buy Commission
10/1/2000	10/4/2000	Buy	W632293452	C017254-0D	50327.84	Unsolicited	0	0	0
10/2/2000	10/5/2000	Buy	W632669420	JALAX	3603.604	Solicited	15.54	56000	0
10/2/2000	10/5/2000	Buy	W632669420	IDGSX	1689.708	Solicited	13.02	22000	0
10/2/2000	10/5/2000	Buy	W632669420	RCVAX	1756.44	Solicited	17.09	30000	0
10/2/2000	10/5/2000	Buy	W632667689	LEIFX	0.194	Solicited	22.5	0	0
10/2/2000	10/5/2000	Buy	W6326665626	RCVAX	51.317	Solicited	16.58	0	0
10/2/2000	10/5/2000	Buy	W632669555	RCVBX	11.881	Solicited	16.61	0	0
10/2/2000	10/5/2000	Buy	W6325988993	RCVBX	7.324	Solicited	16.57	0	0
10/2/2000	10/5/2000	Buy	W632541066	BETIM	300	Solicited	0.4375	187.6	50
10/2/2000	10/5/2000	Buy	W632498077	LEIBX	0.135	Solicited	22.5	0	0
10/2/2000	10/5/2000	Buy	W632467411	QQQ	70	Solicited	88.5	6333.44	132.09
10/2/2000	10/5/2000	Buy	W632448502	RCV6X	16.242	Solicited	16.57	0	0
10/2/2000	10/5/2000	Buy	W636047672	RCV6X	47.063	Solicited	16.57	0	0
10/2/2000	10/5/2000	Buy	W632367369	RCVBX	21.917	Solicited	16.61	0	0
10/2/2000	10/5/2000	Buy	W632669420	OPPAX	485.784	Solicited	69.99	34000	0
10/2/2000	10/5/2000	Buy	W632356945	LEIFX	1.342	Solicited	22.5	0	0
10/2/2000	10/5/2000	Buy	W6323322475	RCVAX	40.796	Solicited	16.58	0	0
10/2/2000	10/5/2000	Buy	W632312666	AHTX	3.706	Solicited	12.97	0	0
10/2/2000	10/5/2000	Buy	W632306858	INABGX	13.93	Solicited	15.04	0	0
10/2/2000	10/5/2000	Buy	W632297412	LEIBX	0.292	Solicited	22.5	0	0
10/2/2000	10/5/2000	Buy	W632297347	LEIBX	0.576	Solicited	22.5	0	0
10/2/2000	10/5/2000	Buy	W632297107	FO	0.62	Solicited	54.937	34.22	0
10/2/2000	10/5/2000	Buy	W632095573	ENRFX	17.202	Solicited	18.79	0	0

FIG. 8

Investigo Corp.									
• Daily Trade Tickets for the Period 10/1/2000 to 10/31/2000 for All									
Trade Date	Settle Date	Buy/Sell	Account Number	Product	Shares	S/UNS	Type	Price	Report Rep
10/2/2000	10/5/2000	Buy	W632669420	43168H105	2001 8200	Solicited	Cash - 01	market	10 99 3AX
10/2/2000	10/5/2000	Sell	W632319264	RHAT	500	Unsolicited	Cash - 01	mkt	17 1/16 3BR
10/2/2000	10/5/2000	Buy	W632467411	QQQ	70	Unsolicited	Cash - 01	88 1/2 gtc	88 1/2 3BR
10/2/2000	10/5/2000	Sell	W632319364	NO12	600	Unsolicited	Cash - 01	mkt	7 1/16 3BR
10/2/2000	10/5/2000	Buy	W632541066	BETM	300	Unsolicited	Cash - 01	market	4375 3AX
10/2/2000	10/5/2000	Sell	W632319364	TRCR	800	Unsolicited	Cash - 01	3 11/16	3BR
10/2/2000	10/5/2000	Buy	W632669420	IALAX	3603 6040	Solicited	Cash - 01	market	15 54 3AX
10/2/2000	10/5/2000	Buy	W632669420	45168C437	1689 7080	Solicited	Cash - 01	market	13 02 3AX
10/2/2000	10/5/2000	Buy	W632669420	OIGAX	419 8150	Solicited	Cash - 01	0	23 82 3AX
10/2/2000	10/5/2000	Buy	W632669420	OPMSX	1635 2200	Unsolicited	Cash - 01	market	15 90 3AX
10/3/2000	10/6/2000	Buy	W636047672	ANWFX	2471 6500	Solicited	Cash - 01	market	29 63 3AX
10/3/2000	10/6/2000	Buy	W636047672	AEQGX	1380 8760	Solicited	Cash - 01	market	30 48 3AX
10/3/2000	10/6/2000	Sell	W636047672	IVINX	1707 1350	Solicited	Cash - 01	market	36 68 3AX
10/3/2000	10/6/2000	Sell	W636047672	220714505	52745 70	Solicited	Cash - 01	1	1 3AX
10/3/2000	10/6/2000	Buy	W632669420	RCVAX	1736 4400	Solicited	Cash - 01	market	17 08 3AX
10/3/2000	10/6/2000	Buy	W632669420	OPPAX	485 7840	Solicited	Cash - 01	market	69 99 3AX
10/3/2000	10/6/2000	Sell	W636047672	NEFDX	4282 7230	Solicited	Cash - 01	market	17 10 3AX
10/3/2000	10/6/2000	Buy	W636020430	LU	100	Unsolicited	Cash - 01	30 25	gtc 3AX
10/3/2000	10/6/2000	Sell	W632295424	LEH	1000	Unsolicited	Cash - 01	160	gtc 3AX
10/4/2000	10/7/2000	Sell	W636020430	FKINX	7884 9460	Solicited	Cash - 01	market	2 31 3AX

FIG. 9

300

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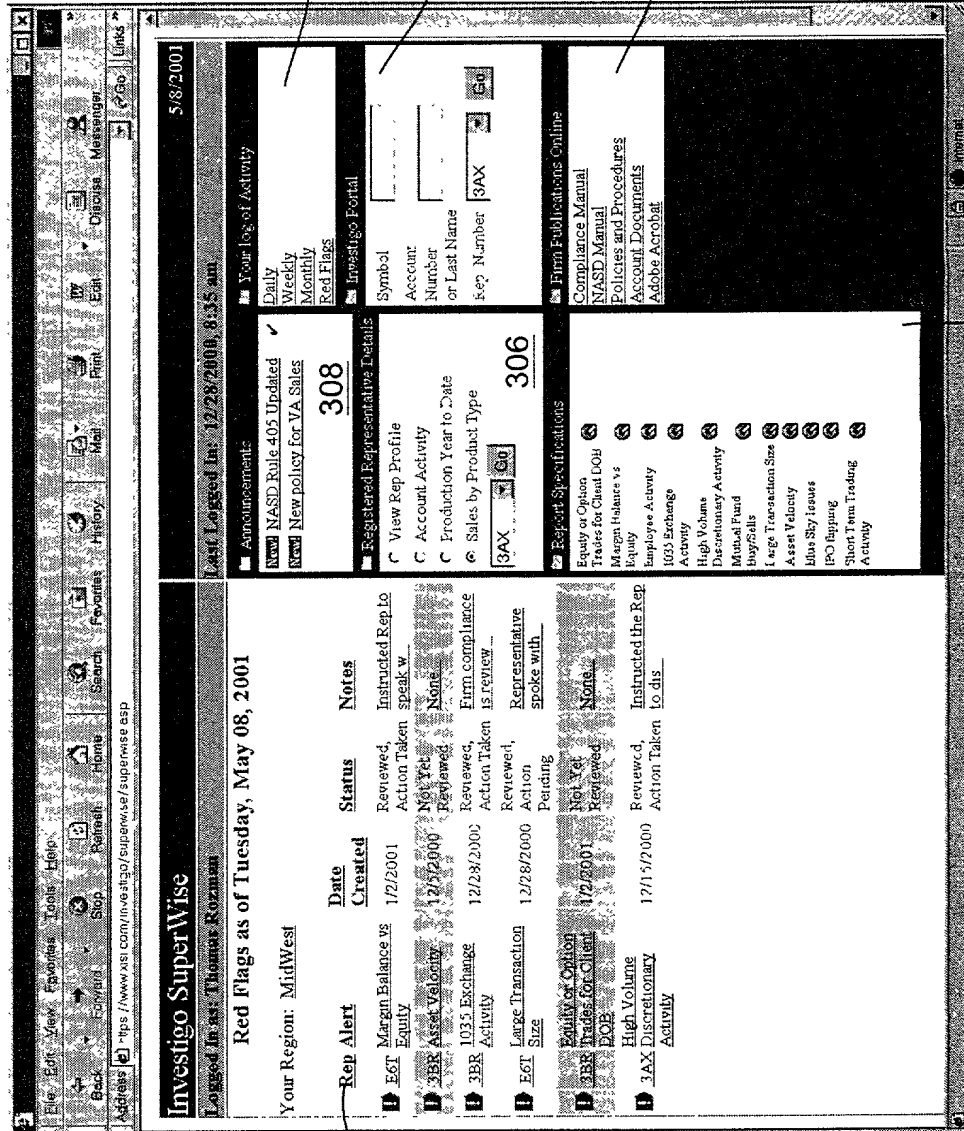


FIG. 10

302

SmartKnob settings for Equity or Option Trades for Client

Investigo Corp.

5/8/2001

SmartKnob settings

Equity or Option Trades for Client DOB

Use this report to determine trade activity for customers with a date of birth year and older.

Description	Setting	Guide	Update
Date of Birth	01/01/25	Enter as a Date (MM/DD/YYYY)	Update
Number of Transactions	5	Enter as a regular number with decimal points	Update
Solicited Business?	<input checked="" type="checkbox"/>	Yes / No	Update

Close Window

FIG. 11

SmartKnob settings for Margin Balances vs Equity

Investigo Corp.

5/8/2001

SmartKnob settings

Margin Balance vs Equity

Use this report to monitor Margin balances versus equity with or without Discretionary Agreements

Description	Setting	Guide	Update
Percentage (vs. Total)	25	Enter as a number between 0 and 100 as a percent	Update
Discretionary Agreement?	<input checked="" type="checkbox"/>	Yes / No	Update

Close Window

FIG. 12

SmartKnob settings for Employee Activity - Microsoft In

Investigo Corp.

5/8/2001

SmartKnob settings

Employee Activity

Use this report to monitor trading activity for Firm employees.

Description	Setting	Guide	Update
Symbol	GM	Enter as Text	Update
Start Date	1/1/01	Enter as a Date (MM/DD/YYYY)	Update
End Date	12/1/01	Enter as a Date (MM/DD/YYYY)	Update

Close Window

FIG. 13

SmartKnob settings for 1035 Exchange Activity - Memo

Investigo Corp.

5/8/2001

SmartKnob settings

1035 Exchange Activity

Use this report to monitor any Annuity 1035 Exchange activity over a date range.

Description	Setting	Guide	Update
Number of Transactions	10	Enter as a regular number with decimal points	Update
Start Date	1/1/01	Enter as a Date (MM/DD/YYYY)	Update
End Date	12/1/01	Enter as a Date (MM/DD/YYYY)	Update

Close Window

FIG. 14

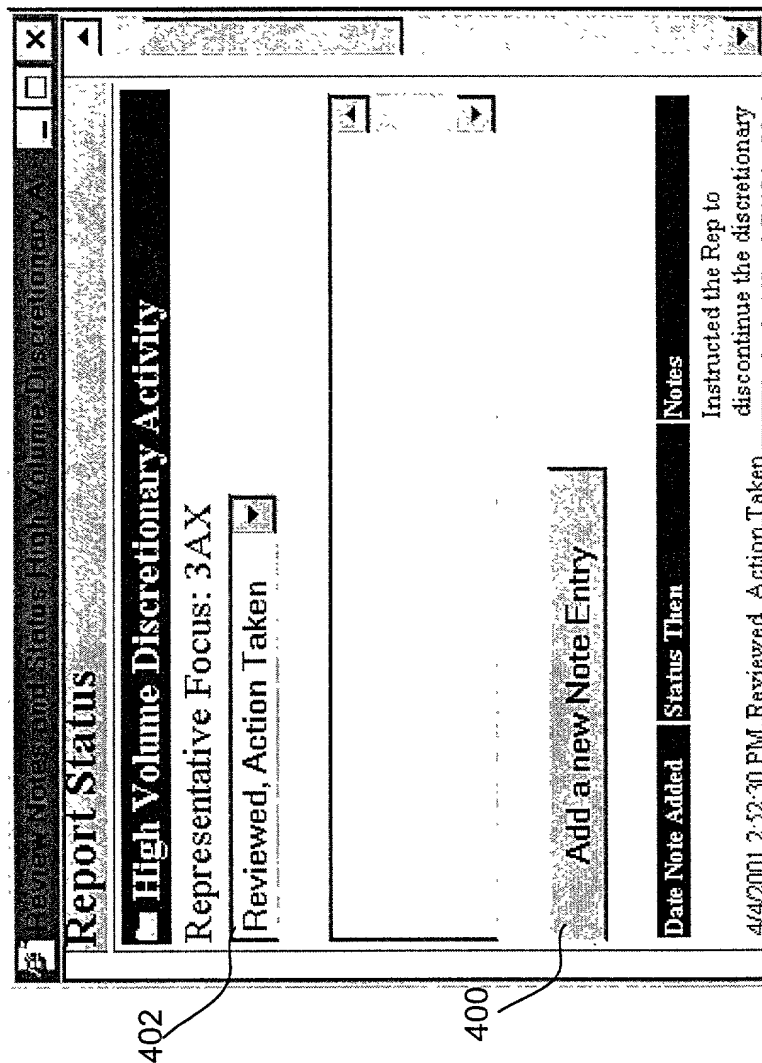


FIG. 16

<input type="checkbox"/> Review Notes and Status Equity or Option Trades for C		<input type="checkbox"/> <input type="checkbox"/> X	
Investigo Corp.		5/21/2001	
Report Status			
<input checked="" type="checkbox"/> Equity or Option Trades for Client DOB			
Representative Focus: 3BR			
Not Yet Reviewed		<input type="checkbox"/>	
Not Yet Reviewed		<input type="checkbox"/>	
Not Yet Reviewed		<input type="checkbox"/>	
Reviewed, No Action taken		<input type="checkbox"/>	
Reviewed, Action Pending		<input type="checkbox"/>	
Reviewed, Action Taken		<input type="checkbox"/>	
Forwarded on for Review		<input type="checkbox"/>	
<input type="button" value="Add a new Note Entry"/>			

FIG. 17

Investigo Corp.		5/8/2001	
SuperWise			
Rep Profile for 3BR			
Full Name		Scott O. Fergusson	
CRD Number		34241	
Licenses Held		7,24,3,63,65,66	
States Licensed In		IN,OH,MI,IL,MO,CA,FL,TX,NC,NY,KY	
Supervisor		Thomas R. Cernat	
Supervisory Region		MidWest	
Last Date Audited		10/20/2000	
Audited By		SUP	
Last Updated		1/12/2000	
Last Updated By		SUP	
Notes		Scott is the registered principal of Ferguson Miller	
Update Rep Profile			
Affiliate Firm Name		Xpert Information Systems, Inc	
Office Address		229 West Berry Street Suite 210 Fort Wayne, IN 46802	
Office Telephone		219-422-5686	
Email Address		scottf@investigo.net	
Home Address		7914 Woodcreek Lane Fort Wayne, IN 46802	

FIG. 18

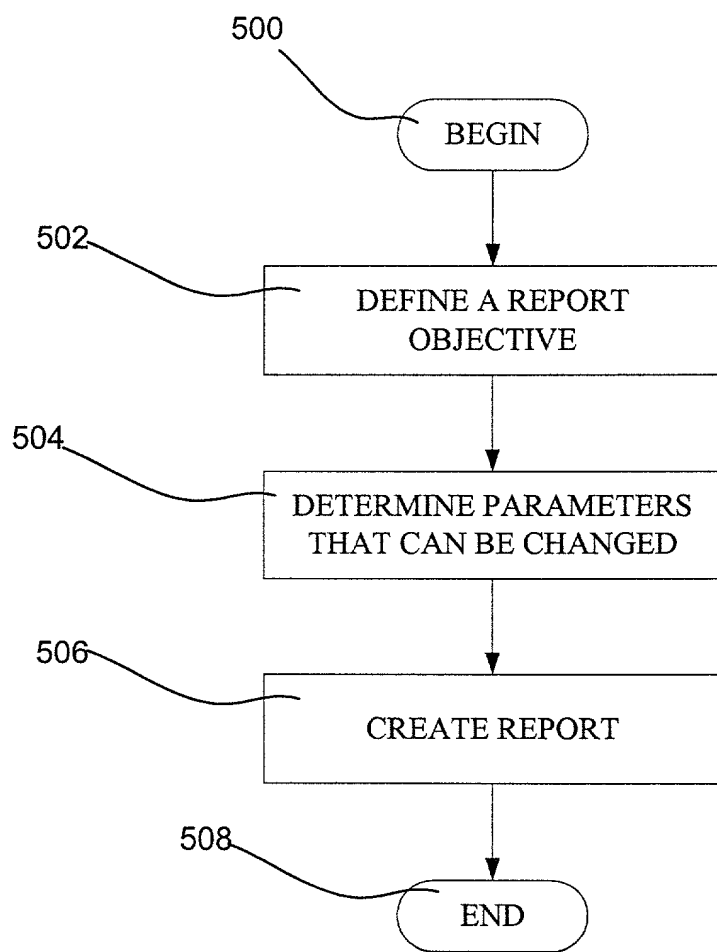


FIG. 19

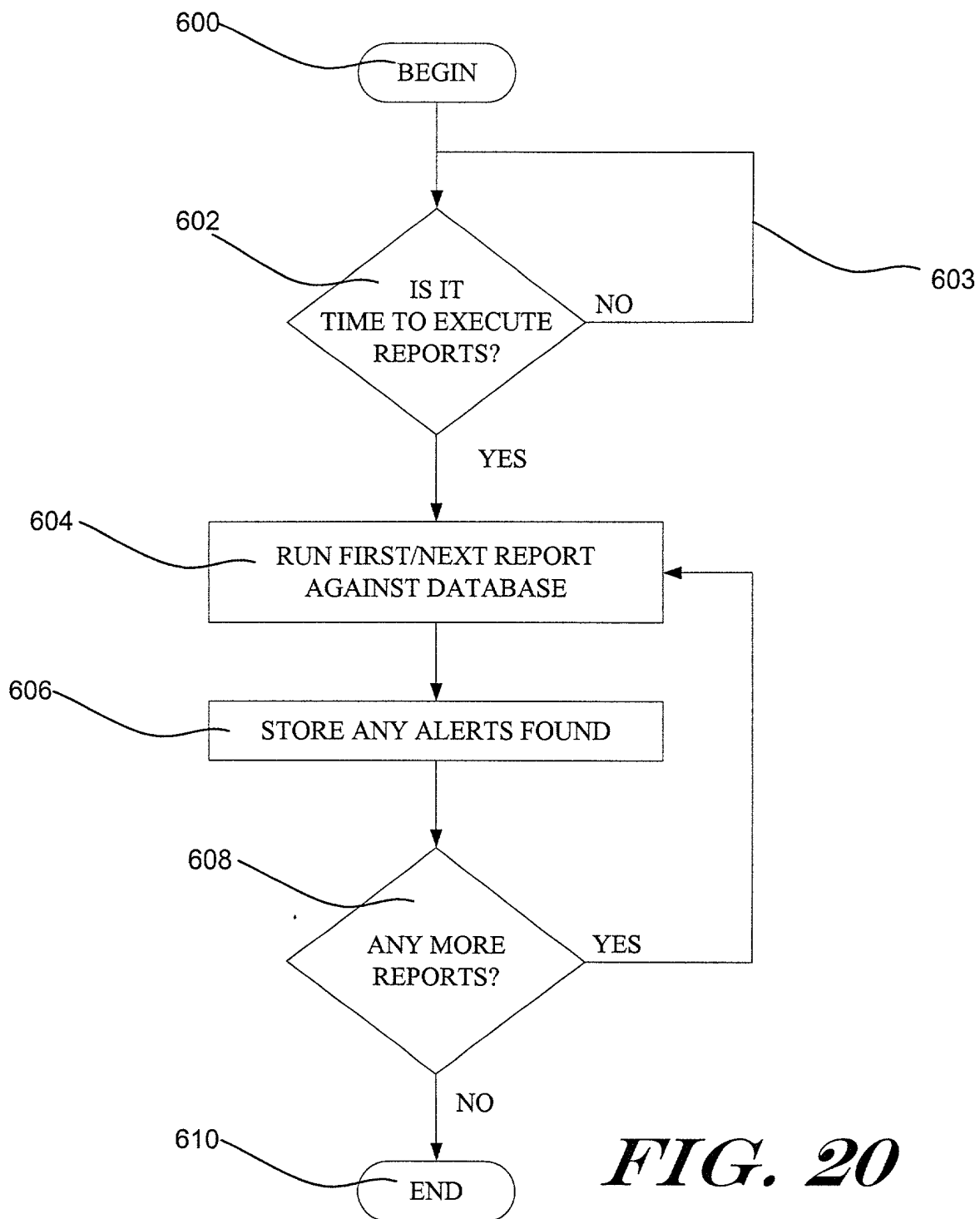


FIG. 20

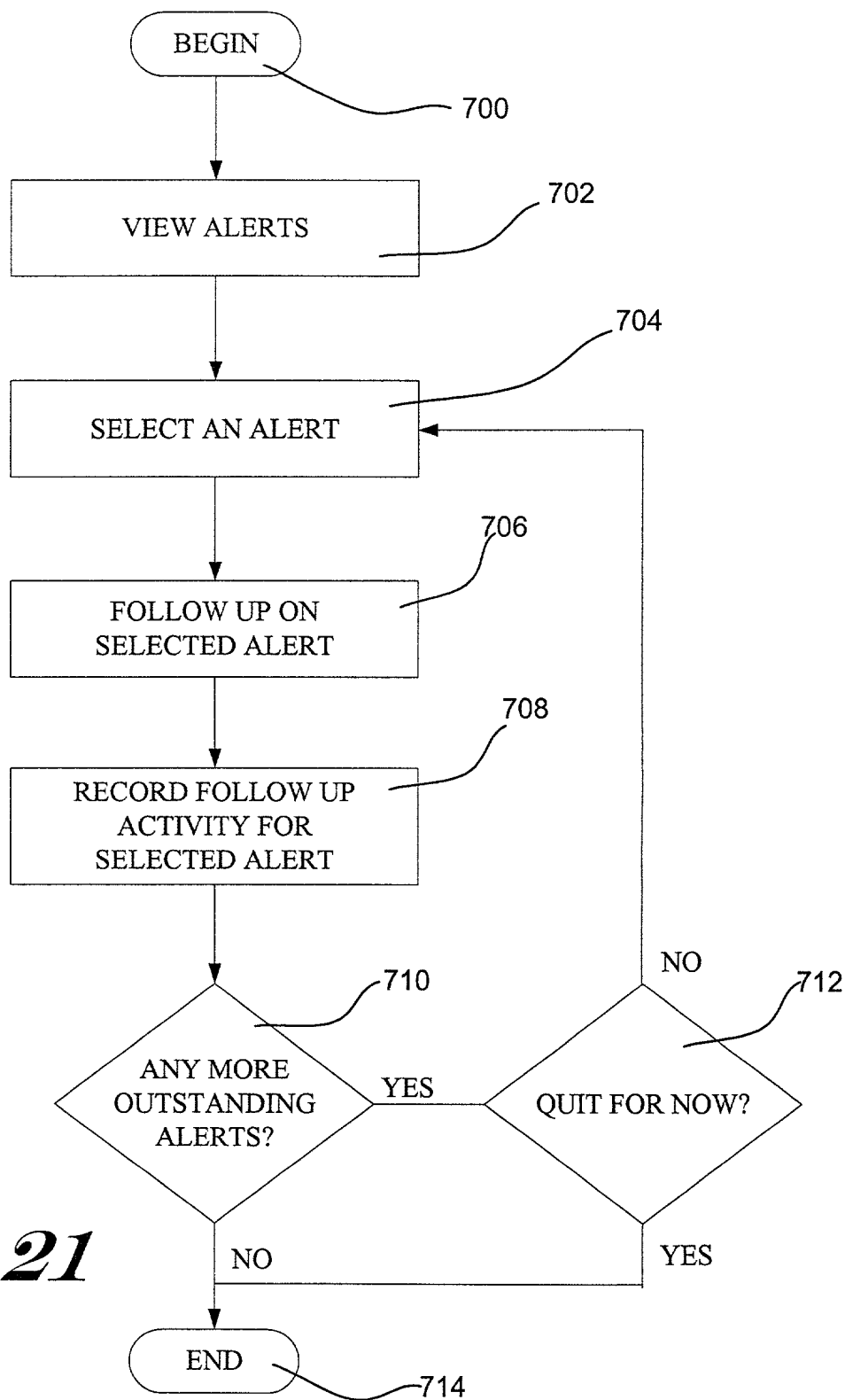


FIG. 21

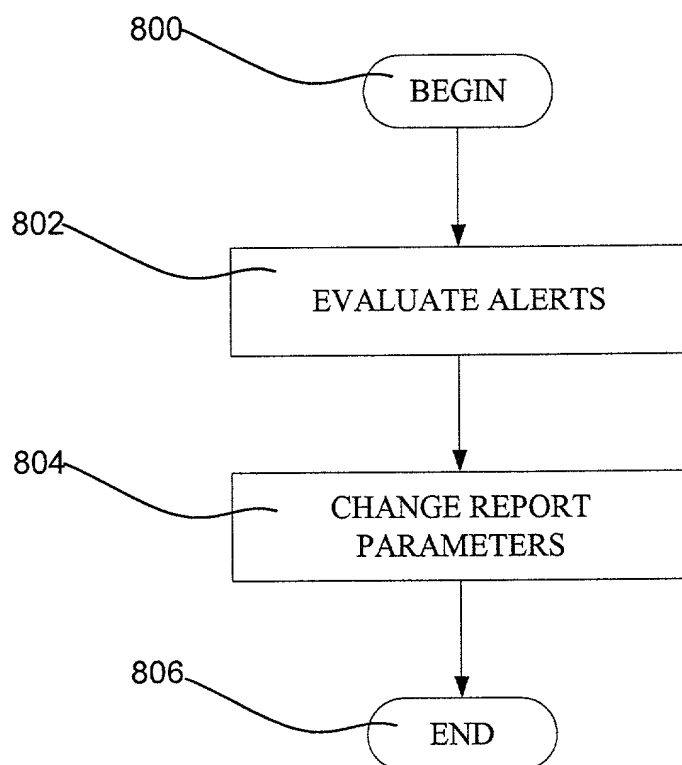


FIG. 22